

**BEFORE THE SECURITIES COMMISSIONER  
OF THE STATE OF KANSAS**

In the matter of: )  
 )  
 )  
James Norris Holland, Jr. , )  
 )  
 )  
 Respondent. )  
\_\_\_\_\_ )

Docket No. 08 E 012  
KSC No. 2007-5272

A proceeding pursuant to K.S.A. 17-1266a and  
Kansas Uniform Securities Act, K.S.A. 17-12a604(a)

**CONSENT ORDER**

COMES NOW the above-entitled action before the Securities Commissioner of Kansas for further consideration. Upon due deliberation, the Commissioner finds as follows:

1. Respondent James Norris Holland, Jr. and staff for the Office of the Securities Commissioner have entered into a Stipulation for Consent Order in this matter.
2. In the Stipulation, Respondent James Norris Holland, Jr. waived his rights to a hearing to contest the allegations contained in the Stipulation. Therefore, this Order may be issued without further proceedings.
3. Respondent James Norris Holland, Jr. neither admits nor denies the allegations contained in the Stipulation, except that Respondent James Norris Holland, Jr. denies the Allegation of Fact contained in paragraph number four.
4. It is in the public interest and consistent with the purposes of the Kansas Uniform Securities Act that this Order be issued.

WHEREFORE, the Commissioner adopts the Allegations of Fact and Allegations of Law contained in the Stipulation as true and correct Findings of Fact and Conclusions of Law.

IT IS, THEREFORE, ORDERED by the Commissioner that Respondent James Norris Holland, Jr. is hereby CENSURED.

IT IS FURTHER ORDERED that Respondent James Norris Holland, Jr. shall make a contribution in the amount of \$1,000 to the Office of Kansas Securities Commissioner investor education fund.

IT IS FURTHER ORDERED that if Respondent James Norris Holland, Jr. becomes registered as an investment adviser in another state; such investment adviser shall conduct no business in Kansas for a period of five years from the date of this Order.

IT IS SO ORDERED BY THE COMMISSIONER.

Entered at Topeka, Kansas, this 27th day of January, 2009.

/s/ Chris Biggs  
Chris Biggs  
Securities Commissioner  
State of Kansas

**NOTICES:**

- (1) Pursuant to K.S.A. 17-12a508(a), an intentional violation of an Order issued under the Kansas Securities Act is a felony criminal offense.**
- (2) This decision may constitute final agency action that is subject to judicial review. The agency officer to receive service of a petition for judicial review on behalf of the Office of the Securities Commissioner is Chris Biggs, Securities Commissioner, at 618 South Kansas Avenue, Topeka, Kansas 66603.