

Mark Steven Pincus

Notice of Intent to Invoke Administrative Sanctions

DATE: August 15, 2002 RESPONDENTS: Mark Steven Pincus of Dallas, Texas ORDER: Notice of Intent to Invoke Administrative Sanctions under the Kansas Securities Act issued to respondent for allegedly selling or offering for sale units in the Beyond Conception limited partnership, a Regulation D, Rule 506 offering. The Notice alleges that respondent, who was not a registered agent under the Kansas Securities Act, received compensation for selling units in the limited partnership. The Notice further alleges that although the security being sold was a federal covered security, because respondent was compensated for his sales, his failure to register as an agent was in violation of the agent registration provisions of the Kansas Securities Act.

Consent Order

DATE: December 9, 2002 RESPONDENTS: Mark Steven Pincus of Dallas, Texas ORDER: Consent Order issued to respondent Mark Steven Pincus for allegedly selling or offering for sale units in the Beyond Conception Limited Partnership, a Regulation D, Rule 506 offering. The Consent Order orders respondent Pincus to cease and desist from violating of the Kansas Securities Act.

Notice to Investors: If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.