

**BEFORE THE SECURITIES COMMISSIONER  
OF THE STATE OF KANSAS**

In the Matter of:

THOMAS S. BACONRIND,

Docket No. 11 E 013

KSC No. 2007-5367

Respondent.

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Pursuant to K.S.A. 17-12a604

**CONSENT ORDER**

**COMES NOW** the above-entitled action before the Securities Commissioner of Kansas for consideration. Upon due deliberation, the Commissioner finds as follows:

1. Respondent Thomas S. Baconrind and staff for the Office of the Securities Commissioner have entered into a Stipulation for Consent Order in this matter.

2. In the above-referenced Stipulation, Respondent has waived his right to a hearing to contest the allegations set forth in the Stipulation. Therefore, this Order may be issued without further proceedings.

3. Respondent by entering into the Stipulation for Consent Order, does not admit to the allegations by the Office of the Kansas Securities Commissioner and the issuance of this Order resolves the allegations herein.

4. It is in the public interest and consistent with purposes of the Kansas Uniform Securities Act that this Order be issued.

**WHEREFORE**, the Commissioner adopts the Allegations of Fact set forth in the Stipulation for Consent Order as true and correct findings of fact, and the Commissioner adopts the Allegations of Law as true and correct conclusions of law.

**IT IS, THEREFORE, ORDERED** by the Securities Commissioner of Kansas that Respondent Thomas S. Baconrind, and any person in concert or participation with

him who receives actual notice of this Order, shall immediately CEASE AND DESIST in the State of Kansas from transacting business as an agent without registration and from all acts and practices which constitute violations of the Kansas Uniform Securities Act.

**IT IS SO ORDERED.**

Entered at Topeka, Kansas, this 4th day of March, 2011.

/s/ Aaron Jack  
D. Aaron Jack  
Securities Commissioner  
State of Kansas

**NOTICES:**

**(1) Pursuant to K.S.A. 17-12a508(a), any intentional violation of an order issued under the Kansas Uniform Securities Act is a felony criminal offense.**

**(2) This decision may constitute final agency action that is subject to judicial review. The agency officer to receive service of a petition for judicial review on behalf of the Office of the Kansas Securities Commissioner is D. Aaron Jack, Securities Commissioner, at 109 SW 9<sup>th</sup> Street, Suite 600, Topeka, Kansas, 66612.**