

**BEFORE THE SECURITIES COMMISSIONER
OF THE STATE OF KANSAS**



In the Matter of:

TANDEM ADVISORS, INC. (CRD #144295),

Docket No. 12E023
KSC No. 2012-5965

Respondent.

_____/
Pursuant to K.S.A. 17-12a412

CONSENT ORDER

COMES NOW the above-entitled action before the Securities Commissioner of Kansas for further consideration. Upon due deliberation, the Commissioner finds as follows:

1. Respondent and staff for the Office of the Kansas Securities Commissioner have entered into a Stipulation for Consent Order in this matter.
2. In the above-referenced Stipulation, Respondent has waived its right to a hearing to contest the allegations contained in the Stipulation. Therefore, this Order may be issued without further proceedings.
3. Respondent neither admits nor denies the allegations contained in the Stipulation.
4. It is in the public interest and consistent with the purposes of the Kansas Uniform Securities Act that this Order be issued.

WHEREFORE, the Commissioner adopts the Allegations of Fact set forth in the Stipulation as true and correct Findings of Fact, and the Allegations of Law contained in the Stipulation as true and correct Conclusions of Law.

IT IS THEREFORE ORDERED by the Securities Commissioner that:

- a. Respondent is hereby fined \$500.00.
- b. Complete and full payment of said fine shall be delivered to the Office of the Kansas Securities Commissioner located at 109 SW 9th Street, Suite 600, Topeka, Kansas 66612 within 30 days of the date of this order.
- c. Said fine shall be paid in the form of a cashier's check or money order made payable to the Office of the Kansas Securities Commissioner.

IT IS SO ORDERED BY THE COMMISSIONER.

Entered at Topeka, Kansas, this 18th day of May, 2012.





Aaron Jack
Securities Commissioner
State of Kansas

NOTICE:

This decision may constitute final agency action that is subject to judicial review. The agency officer to receive service of a petition for judicial review on behalf of the Office of the Securities Commissioner is Aaron Jack, Securities Commissioner, at 109 SW 9th St., Suite 600, Topeka, Kansas, 66612.