

**BEFORE THE SECURITIES COMMISSIONER
OF THE STATE OF KANSAS**



In the Matter of:

RICHARD ANGELO FANCHER (CRD# 1139618),

Docket No. 13E001

KSC No. 2012-5924

Respondent.

_____/

Pursuant to K.S.A. 17-12a412

CONSENT ORDER

COMES NOW the above-entitled action before the Securities Commissioner of Kansas for further consideration. Upon due deliberation, the Commissioner finds as follows:

1. Respondent Richard Angelo Fancher (Fancher) and staff for the Office of the Kansas Securities Commissioner have entered into a Stipulation for Consent Order in this matter.
2. In the above-referenced Stipulation, Respondent Fancher has waived his right to a hearing to contest the allegations contained in the Stipulation. Therefore, this Order may be issued without further proceedings.
3. Respondent Fancher neither admit nor denies the allegations contained in the Stipulation.
4. It is in the public interest and consistent with the purposes of the Kansas Securities Act that this Order be issued.

WHEREFORE, the Commissioner adopts the Allegations of Fact set forth in the Stipulation as true and correct Findings of Fact, and the Allegations of Law contained in the Stipulation as true and correct Conclusions of Law.

IT IS THEREFORE ORDERED by the Securities Commissioner that:

- a. Respondent is hereby publicly censured.
- b. Respondent is hereby fined \$3,500.00.
- c. Complete and full payment of said fine shall be delivered to the Office of the Kansas Securities Commissioner located at 109 SW 9th Street, Suite 600, Topeka, Kansas 66612 within 30 days of the date of this order.
- d. Said fine shall be paid in the form of a cashier's check or money order made payable to the Office of the Kansas Securities Commissioner.

IT IS SO ORDERED BY THE COMMISSIONER.

Entered at Topeka, Kansas, this 11th day of July, 2012.



Aaron Jack
Securities Commissioner
State of Kansas

NOTICE:

This decision may constitute final agency action that is subject to judicial review. The agency officer to receive service of a petition for judicial review on behalf of the Office of the Securities Commissioner is Aaron Jack, Securities Commissioner, at 109 SW 9th St., Suite 600, Topeka, Kansas, 66612.

Certificate of Service

I hereby certify that on this 11th day of July, 2012, copies of the above Consent Order were sent by first class mail, postage prepaid, addressed to the following:

Gina A. Cristallo
Assistant Vice President
New York Life Insurance Company
51 Madison Avenue
New York, New York 10010

Richard Fancher
[REDACTED]

[REDACTED]
Michelle Lancaster
Legal Assistant