

BEFORE THE SECURITIES COMMISSIONER  
OF THE STATE OF KANSAS



In the Matter of:

Inter Reef Ltd. d/b/a Profitable Sunrise,  
Roman Novak,  
Radoslav Novak,  
David P. Cozzocrea,  
Focus Up Ministries, Inc. d/b/a NJF Global Group,  
Nanci Jo Frazer a.k.a. Nancy J. Frazer  
and their representatives and agents,

Docket No. 13 E 021  
KSC No. 2013-6088

Respondents.

\_\_\_\_\_/   
Pursuant to K.S.A. 17-12a604

**THE FOLLOWING CONSENT ORDER APPLIES TO  
RESPONDENT DAVID P. COZZOCREA ONLY**

**CONSENT ORDER**

**COMES NOW** the above-entitled action before the Securities Commissioner of Kansas for further consideration. Upon due deliberation, the Commissioner finds as follows:

1. On April 24, 2013, the Securities Commissioner of Kansas issued a Cease and Desist Order and Notice of Intent to Impose Administrative Sanctions against several persons, including David P. Cozzocrea (Respondent Cozzocrea).
2. On or prior to May 21, 2013, Respondent Cozzocrea filed a Request for Hearing on Cease and Desist Order and Notice of Intent to Impose Administrative Sanctions.
3. Respondent Cozzocrea and staff for the Office of the Kansas Securities Commissioner have subsequently entered into a Stipulation for Consent Order in this matter.
4. In the above-referenced Stipulation for Consent Order, Respondent Cozzocrea has waived his right to a hearing to contest the allegations contained in the Stipulation. Therefore, this Consent Order may be issued without further proceedings.

5. Respondent Cozzocrea neither admits nor denies the allegations contained in the Stipulation.

6. It is in the public interest and consistent with the purposes of the Kansas Uniform Securities Act that this Order be issued.

**WHEREFORE**, the Commissioner adopts the Allegations of Fact set forth in the Stipulation for Consent Order as true and correct Findings of Fact, and the Allegations of Law contained in the Stipulation for Consent Order as true and correct Conclusions of Law.

**IT IS THEREFORE ORDERED** by the Securities Commissioner that:

a. Respondent Cozzocrea shall cease and desist in the State of Kansas from selling, offering to sell, or materially aiding in the offer or sale, on behalf of himself or on behalf of any other person/entity that he is acting in concert with, investments in Profitable Sunrise;

b. Respondent Cozzocrea shall cease and desist in the state of Kansas from soliciting offers to purchase or making offers to sell, or effecting or transacting sales of securities, or the securities of any other person or issuer, or directly or indirectly aiding and assisting in the same or attempting to do the same, unless and until he refrains from all acts and practices which constitute violations or are about to constitute violations of the Kansas Uniform Securities Act;

c. Respondent Cozzocrea will disgorge the \$2,000.00 that was sent to him by Kansas investors;

d. The disgorgement ordered in Paragraph c, above, shall be paid by Respondent Cozzocrea in the form of cashier's checks or money orders made payable to the Office of the Kansas Securities Commissioner and shall be delivered to the Office of the Kansas Securities Commissioner located at 109 SW 9<sup>th</sup> Street, Suite 600, Topeka, Kansas 66612, in the following manner:

- i. \$400 on August 1, 2013,
- ii. \$400 on September 1, 2013,
- iii. \$400 on October 1, 2013,
- iv. \$400 on November 1, 2013, and
- v. \$400 on December 1, 2013;

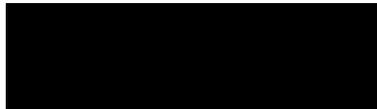
e. The Office of the Kansas Securities Commissioner shall remit the disgorgement ordered in Paragraph c, above, to the Kansas investors that sent \$2,000.00 to Respondent Cozzocrea.

f. Respondent Cozzocrea shall pay a fine of \$500.00; and

g. Complete and full payment of the fine ordered in Paragraph f, above, was made at the time that the Stipulation for Consent Order was executed.

**IT IS SO ORDERED BY THE COMMISSIONER.**

Entered at Topeka, Kansas, this 16<sup>th</sup> day of July, 2013



Joshua A. Ney  
Interim Securities Commissioner  
State of Kansas

**NOTICE:**

**This decision may constitute final agency action that is subject to judicial review. The agency officer to receive service of a petition for judicial review on behalf of the Office of the Securities Commissioner is Joshua A. Ney, Interim Securities Commissioner, at 109 SW 9<sup>th</sup> St., Suite 600, Topeka, Kansas, 66612.**