

BEFORE THE SECURITIES COMMISSIONER
OF THE STATE OF KANSAS



In the Matter of:

SUNFLOWER ASSET MANAGEMENT, INC. (CRD #107057),

Respondent.

Docket No. 14 E 003
KSC No. 2013-6095

_____/
Pursuant to K.S.A. 17-12a412

CONSENT ORDER

COMES NOW the above-entitled action before the Securities Commissioner of Kansas for further consideration. Upon due deliberation, the Commissioner finds as follows:

1. Respondent and staff for the Office of the Kansas Securities Commissioner have entered into a Stipulation for Consent Order in this matter.
2. In the above referenced Stipulation, Respondent waived its right to a hearing to contest the allegations contained in the Stipulation. Therefore, this Order may be issued without further proceedings.
3. Respondent admits the allegations contained in the Stipulation.
4. It is in the public interest and consistent with the purposes of the Kansas Uniform Securities Act that this Order be issued.

WHEREFORE, the Commissioner adopts the Allegations of Fact as set forth in the Stipulation as true and correct Findings of Fact, and the Allegations of Law contained in the Stipulation as true and correct Conclusions of Law.

IT IS THEREFORE ORDERED by the Securities Commissioner that:

1. Respondent is hereby censured for failure to maintain solvency in violation of K.S.A. 17-12a412(d)(7).

IT IS SO ORDERED BY THE COMMISSIONER.

Entered at Topeka, Kansas, this 20th day of November, 2013.



NOTICE


Joshua A. Ney
Securities Commissioner
State of Kansas

This decision may constitute final agency action that is subject to judicial review. The agency officer to receive service of a petition for judicial review on behalf of the Office of the Securities Commissioner is Joshua A. Ney, Securities Commissioner, at 109 SW 9th St., Suite 600, Topeka, Kansas, 66612.