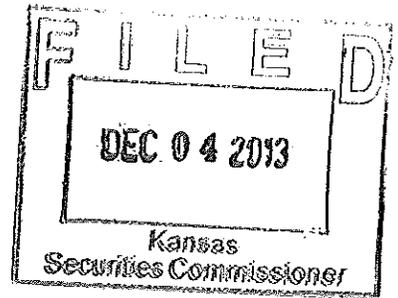


BEFORE THE SECURITIES COMMISSIONER  
OF THE STATE OF KANSAS



In the Matter of:

EKN FINANCIAL SERVICES INC.,  
(CRD# 113525)

Docket No. 13 E 22  
KSC No. 2013-6037

Respondent.

\_\_\_\_\_  
Pursuant to K.S.A. 17-12a412(b)

**FINAL ORDER REVOKING BROKER-DEALER REGISTRATION**

1. On July 10, 2013, Joshua A. Ney, Kansas Securities Commissioner (the "Commissioner") issued a Notice of Intent ("NOI"), based on allegations that there were grounds for discipline pursuant to K.S.A 17-12a412(d) and that an Order revoking Respondent EKN Financial Services Inc.'s ("EKN") broker-dealer registration in the State of Kansas pursuant to K.S.A. 17-12a412(b) was in the public interest.
2. Service of the NOI was attempted on EKN at its last know address via certified mail, return receipt requested, but was returned undeliverable on July 22, 2013.
3. Pursuant to K.S.A. 17-12a611(c), the NOI was served on the Commissioner on September 25, 2013, with affidavit of service filed on the same date. Notice of this service was sent via certified mail, return receipt requested, to the last known address of EKN on September 25, 2013 and returned undeliverable on October 4, 2013.
4. EKN failed to file a request for hearing within thirty (30) days after service of the NOI as prescribed by K.A.R. 81-11-5.

### FINDINGS OF FACT

5. Respondent EKN Financial Services Inc. ("EKN"), CRD 113525, is a broker-dealer with a principal place of business located at 201 Old Country Rd., Suite 101, Melville, NY 11747. EKN has been registered as a broker-dealer in the State of Kansas since December 20, 2001.
6. On October 12, 2012, after notice and opportunity for hearing, an Order Accepting Offer of Settlement (the "FINRA Order") was issued by the Financial Industry Regulatory Authority ("FINRA").
7. Pursuant to the FINRA Order, EKN was expelled from FINRA membership.
8. Revocation of EKN's broker-dealer registration is in the public interest.

### CONCLUSIONS OF LAW

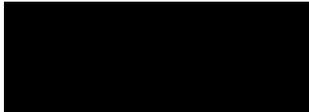
9. Paragraphs 1-7 are incorporated by reference as though set forth fully herein.
10. The Commissioner has jurisdiction over Respondent and this matter.
11. EKN's expulsion from FINRA membership, after notice and opportunity for hearing, constitutes a ground for discipline pursuant to K.S.A. 17-12a412(d)(5)(C).
12. The Commissioner hereby revokes EKN's broker-dealer registration pursuant to K.S.A. 17-12a412(b), because there are grounds for discipline and the revocation is in the public interest.

ORDER

**NOW, THEREFORE, it is hereby Ordered that:**

- A. Respondent EKN Financial Services, Inc. registration as a broker-dealer under the Kansas Uniform Securities Act is REVOKED.

ENTERED BY THE COMMISSIONER at Topeka, Kansas, this 4<sup>th</sup> day of December 2013.

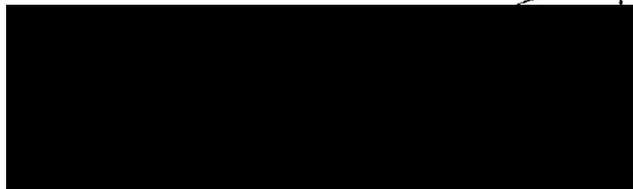


Joshua A. Ney  
Kansas Securities Commissioner

**CERTIFICATE OF SERVICE**

On this 5<sup>th</sup> day of December, 2013, I was provided a copy of the aforementioned process on behalf of the Office of the Securities Commissioner. I promptly sent notice of the process to the address below, being the address set forth in the recipient's consent to service of process or last known address. The process was sent via mail, receipt requested to:

EKN Financial Services, Inc.  
201 Old Country Rd., Suite 101  
Melville, NY 11747



Ryan A. Kriegshauser  
Special-Counsel to the Commissioner

