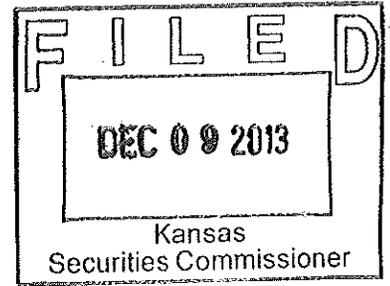


BEFORE THE SECURITIES COMMISSIONER
OF THE STATE OF KANSAS



In the Matter of:

Obsidian Financial Group, LLC,
(CRD# 104255)

Docket No. 14 E 006
KSC No. 2014-6127

Respondent.

Pursuant to K.S.A. 17-12a412(b)

FINAL ORDER REVOKING BROKER-DEALER REGISTRATION

1. On September 18, 2013, Joshua A. Ney, Kansas Securities Commissioner (the "Commissioner") issued a Notice of Intent ("NOI"), based on allegations that there were grounds for discipline pursuant to K.S.A 17-12a412(d) and that an Order revoking Respondent Obsidian Financial Group, LLC ("Obsidian") broker-dealer registration in the State of Kansas pursuant to K.S.A. 17-12a412(b) was in the public interest.
2. Pursuant to K.S.A. 17-12a611(c), the NOI was served on the Commissioner on September 18, 2013, with affidavit of service filed on the same date. Notice of this service was sent via certified mail, return receipt requested, to the last known address of Obsidian on September 18, 2013 and returned "unclaimed; unable to forward" on October 21, 2013.
3. Obsidian failed to file a request for hearing within thirty (30) days after service of the NOI as prescribed by K.A.R. 81-11-5.

FINDINGS OF FACT

4. Respondent Obsidian Financial Group, LLC (“Obsidian”), CRD No. 104255, is a broker-dealer with a principal place of business located at 1000 Woodbury Road, Suite 110, Woodbury, NY 11797. Obsidian has been registered as a broker-dealer in the State of Kansas since June 30, 2006.
5. On May 2, 2013 Obsidian was suspended from FINRA membership after it failed to comply with an arbitration award or settlement agreement or to satisfactorily respond to a FINRA request to provide information concerning the status of compliance.
6. As a result of the suspension, the Staff of the Kansas Securities Commissioner (“KSC Staff”) has had repeated communications with Obsidian. The KSC Staff has obtained information that since January 1, 2013, Obsidian has been net capital deficient and failed to inform the Office of the Kansas Securities Commissioner of the deficiency.
7. To date, Obsidian’s FINRA membership remains suspended.
8. Suspension of Obsidian’s broker-dealer registration is in the public interest.

CONCLUSIONS OF LAW

9. Paragraphs 1-8 are incorporated by reference as though set forth fully herein.
10. The Commissioner has jurisdiction over Respondent and this matter.
11. Obsidian’s suspension from FINRA membership, after notice and opportunity for hearing, constitutes a ground for discipline pursuant to K.S.A. 17-12a412(d)(5)(C).
12. The Commissioner hereby revokes Obsidian’s broker-dealer registration pursuant to K.S.A. 17-12a412(b) because there are grounds for discipline and the revocation is in the public interest.

ORDER

NOW, THEREFORE, its is hereby Ordered that:

- A. Respondent Obsidian Financial Group, LLC registration as a broker-dealer under the Kansas Uniform Securities Act is REVOKED.

ENTERED BY THE COMMISSIONER at Topeka, Kansas, this 9th day of December, 2013.

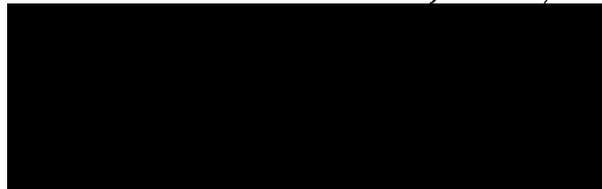


Joshua A. Ney
Kansas Securities Commissioner

CERTIFICATE OF SERVICE

On this 9th day of December, 2013, I was provided a copy of the aforementioned process on behalf of the Office of the Securities Commissioner. I promptly sent notice of the process to the address below, being the address set forth in the recipient's consent to service of process or last known address. The process was sent via mail, receipt requested to:

Obsidian Financial Group, LLC
1000 Woodbury road, Suite 110
Woodbury, NY 11797



Ryan A. Kriegshauser
Special Counsel to the Commissioner