

BEFORE THE SECURITIES COMMISSIONER  
OF THE STATE OF KANSAS



In re: )  
)  
Designation of securities manuals )  
Pursuant to K.S.A. 17-12a202(2)(A)(iv) )  
)  
\_\_\_\_\_ )

Docket No. 17 E 004

**Special Order – To Designate OTCQX Market Information As An Electronic-Equivalent Securities Manual Under K.S.A. 17-12a202(2)(A)(iv)**

WHEREAS, K.S.A. 17-12a202(2)(A)(iv), and amendments thereto, grants the Securities Commissioner the authority to designate a nationally recognized securities manual or its electronic equivalent by rule adopted or order issued under the Kansas Uniform Securities Act (the Act); and

WHEREAS, K.A.R. 81-5-17 designates nationally recognized securities manuals by rule; and

WHEREAS, the “S&P capital IQ standard corporation descriptions” manual specified under K.A.R. 81-5-17(a) has been discontinued; and

WHEREAS, the Commissioner and staff have received a proposal to, in effect, replace the S&P capital IQ standard corporation descriptions manual with an electronic equivalent of a securities manual provided by OTC Markets Group, Inc. on its OTCQX marketplace; and

WHEREAS, staff of the Commissioner have determined that information available on the website of the OTCQX electronic securities market at <http://www.otcmartets.com/marketplaces/otcq> contains the information specified by paragraphs (a) through (d) under K.S.A. 17-12a202(2)(A)(iv); and

WHEREAS, the information contained on the OTCQX market at the internet address provided above is readily available to the public and broker-dealers registered or exempt from registration under the Act; and

WHEREAS, the Commissioner's staff has determined that the information available on the online OTCQX securities market as described above constitutes an electronic-equivalent nationally recognized securities manual; and

WHEREAS, the Commissioner's staff has recommended issuance of this order to designate the OTCQX market information as a nationally recognized electronic securities manual;

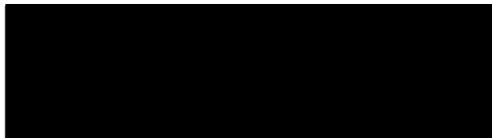
IT IS, THEREFORE, ORDERED BY THE COMMISSIONER that the OTCQX marketplace information available online at <http://www.otcmarkets.com/marketplaces/otcqx> constitutes an electronic equivalent of a nationally recognized securities manual and is hereby designated as a nationally recognized securities manual for purposes of the exemption from securities registration provided by K.S.A. 17-12a202(2)(A)(iv), and amendments thereto.

IT IS FURTHER ORDERED that the discontinued S&P capital IQ standard corporation descriptions manual is no longer designated by the Commissioner as a nationally recognized securities manual and that manual shall be removed from K.A.R. 81-5-17 when next amended.

IT IS FURTHER ORDERED that this order shall be effective on the date indicated below and that it shall be automatically vacated upon the adoption of any future amendment to K.A.R. 81-5-17.

IT IS SO ORDERED.

Entered at Topeka, Kansas, on this 7<sup>th</sup> day of September, 2016.



Joshua A. Ney  
Commissioner